

Criminal Justice Statistical Report

Andrew M. Cuomo Governor Michael C. Green Executive Deputy Commissioner

July 2021

Use of Force Incidents Report

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Use of Force Incidents Report July 11, 2019 – October 31, 2020

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Overview

State law requires each police department, sheriff's office, and the New York State Police to report incidents in which a police officer or peace officer used force against an individual while on duty to the state Division of Criminal Justice Services (DCJS). This reporting requirement took effect July 11, 2019. The law also requires DCJS to publish a comprehensive report outlining the circumstances of each of those incidents as reported to the agency.

This inaugural report provides a statistical overview of 6,052 use of force incidents that occurred from the law's effective date through Oct. 31, 2020, and reported by 280 police agencies through an online, interim tool. It details the type or types of force used in each incident; the circumstances; number of subjects and officers involved in each incident; and the race/ethnicity, sex and age of subjects and officers. DCJS implemented a permanent data collection system effective Nov. 1, 2020; please see Appendix C for additional information.

A spreadsheet posted to the <u>Statistics page of the DCJS website</u> also presents incident-level data for the 6,052 incidents reported. Except where noted, each row represents one incident and includes the following variables: agency name; incident date; city, town or village of occurrence; county of occurrence; incident circumstance; use of force type; and demographics of officer(s) and subject(s).

The DCJS website contains <u>additional information about the use of force reporting requirement</u>, including state regulations, notifications from DCJS to police agencies about their obligations to report this information and frequently asked questions.

Reported Incidents

Police agencies reported 6,052 use of force incidents, during which 8,381 separate types of force were used by officers from July 11, 2019, through Oct. 31, 2020.

Table 1 shows the number of times each use of force – either weapon type or physical conduct – was used in an incident. Impact weapon/electronic control weapon was the most common, followed by firearm and chemical agent. Appendix B provides more information on weapon types outlined in the law; Appendices C and E detail modifications to use of force categories and action types that were made during the period covered in this report.

Ten individuals died after officers used force; those incidents involved a total of 16 officers. All subjects were male: seven were White and three were Black; six were of non-Hispanic ethnicity; and four were reported as ethnicity unknown or not reported. Five males ranged in age from 30 to 39 and five were 45 years old or older.

Use of Force Type	#	%
Impact Weapon / Electronic Control Weapon	3,996	48%
Firearm	2,986	36%
Chemical Agent	1,352	16%
Used a Chokehold or Other Similar Restraint	24	<1%
Conduct that Resulted in Serious Bodily Injury	7	<1%
Conduct that Resulted in Death	16	<1%
Total Uses of Force Reported	8,381	100%
Total Incidents Reported	6,052	

Table 1.Number of Incidents Reported by Use of Force Type

Table 2 shows use of force incidents by the type of circumstance that prompted the encounter. The most commonly reported circumstances were Response to Unlawful or Suspicious Activity (22%) and Follow-Up Investigation (21%), followed by Executing Arrest (17%).

Circumstance Type	#	%
Response to Unlawful or Suspicious Activity	1,323	22%
Follow-Up Investigation	1,248	21%
Executing Arrest	1,048	17%
Traffic Stop	995	16%
Medical, Mental Health or Welfare Assistance	510	8%
Service of a Warrant	177	3%
Routine Patrol Other than Traffic Stop	92	2%
Transporting/Holding Arrestees	42	1%
Demonstration	18	<1%
Service of a Court Order	7	<1%
Jail - Responding to a Disturbance	242	4%
Jail - Assault/Fighting Other Inmate or Officer	170	3%
Jail - Discovery/Removal of Contraband	13	<1%
Jail - Escape/Attempted Escape/Capture/Return	2	<1%
Other	160	3%
Not Reported	5	<1%
Total Incidents	6,052	100%

Table 2.Use of Force Incidents by Circumstance Type

Table 3 provides a breakdown of the number of subjects involved in reported incidents. The vast majority of incidents (89%) involved one subject. Two subjects were involved in 7 percent of incidents, and three or more subjects were involved in 4 percent of incidents.

# of Subjects	# of Incidents	% of Total Incidents					
Total Incidents Reported	6,052	100%					
1 Subject	5,415	89%					
2 Subjects	426	7%					
3 Subjects	113	2%					
4 Subjects	48	1%					
5 Subjects	21	<1%					
6 Subjects	3	<1%					
7 Subjects	8	<1%					
8 Subjects	2	<1%					
9 Subjects	2	<1%					
10 Subjects	0	0%					
More than 10 Subjects	3	<1%					
Number of Subjects Unknown	11	<1%					
Total Number of Subjects Rep	orted	7,011					

Table 3.Incidents by the Number of Subjects Involved

Table 4 provides a breakdown of the number of officers involved in use of force incidents. Nearly two-thirds (63%) involved one officer. Two officers were involved in 20 percent of incidents, and three or more officers were involved in 17 percent of incidents.

incluents by the Number of Officers involved							
# of Officers	# of Incidents	% of Total Incidents					
Total Incidents Reported	6,052	100%					
1 Officer	3,797	63%					
2 Officers	1,228	20%					
3 Officers	418	7%					
4 Officers	296	5%					
5 Officers	115	2%					
6 Officers	88	1%					
7 Officers	54	1%					
8 Officers	24	<1%					
9 Officers	8	<1%					
10 Officers	18	<1%					
More than 10 Officers	6	<1%					
Total Number of Officers R	leported	10,731					

Table 4.Incidents by the Number of Officers Involved

Table 5 provides a breakdown of the number of officers and the number of subjects involved in use of force incidents. One officer and one subject were involved in 59 percent of incidents and in 17 percent of incidents, two officers and one subject were reported.

	# of Incidents	% of Total Incidents
Total Incidents Reported	6,052	100%
1 Officer, 1 Subject	3,590	59%
2 Officers, 1 Subject	1,055	17%
3 Officers, 1 Subject	328	5%
4 Officers, 1 Subject	242	4%
1 Officer, 2 Subjects	153	3%
2 Officers, 2 Subjects	141	2%
3 Officers, 2 Subjects	56	1%
4 Officers, 2 Subjects	36	1%
Other	451	7%

Table 5. Incidents by the Number of Officers and Subjects Involved

There were 7,011 subjects involved in the 6,052 incidents. Table 6 provides a breakdown of those individuals by age group, sex, race and ethnicity.

More than half (53%) of subjects ranged in age from 20 to 34 and the most common age group was 25 to 29 (20%). The vast majority of subjects were male (86%); either Black (49%) or White (46%); and non-Hispanic (80%).

Use of Force Incidents: Subject Demographics						
emographics	#	%				
Under 16	152	2%				
16-19	637	9%				
20-24	1,208	17%				
25-29	1,380	20%				
30-34	1,128	16%				
35-39	804	11%				
40-44	533	8%				
45-49	355	5%				
50-54	243	3%				
55-59	185	3%				
60-64	76	1%				
65 and Older	65	1%				
Unknown/Not Reported	245	3%				
Total Subjects	7,011	100%				
Male	6,048	86%				
Female	851	12%				
Unknown/Not Reported	112	2%				
Total Subjects	7,011	100%				
White	3,233	46%				
Black	3,424	49%				
Asian	82	1%				
American Indian/Alaskan Native	24	<1%				
Native Hawaiian/Pacific Islander	7	<1%				
Unknown/Not Reported	241	3%				
Total Subjects	7,011	100%				
Hispanic	1,156	16%				
Non-Hispanic	5,591	80%				
Unknown/Not Reported	264	4%				
Total Subjects	7,011	100%				
	se of Force Incidents: Subject Der emographics Under 16 16-19 20-24 25-29 30-34 35-39 40-44 45-49 50-54 55-59 60-64 65 and Older Unknown/Not Reported Total Subjects Male Female Unknown/Not Reported Total Subjects White Black Asian American Indian/Alaskan Native Native Hawaiian/Pacific Islander Unknown/Not Reported Total Subjects White Black Asian American Indian/Alaskan Native Native Hawaiian/Pacific Islander Unknown/Not Reported Total Subjects Hispanic Non-Hispanic	se of Force Incidents: Subject Demographics # Under 16 152 16-19 637 20-24 1,208 25-29 1,380 30-34 1,128 35-39 804 40-44 533 45-49 355 50-54 243 55-59 185 60-64 76 65 and Older 65 Unknown/Not Reported 243 Female 851 Unknown/Not Reported 245 Total Subjects 7,011 Male 6,048 Female 851 Unknown/Not Reported 112 Total Subjects 7,011 White 3,233 Black 3,424 Asian 82 American Indian/Alaskan Native 241 Native Hawaiian/Pacific Islander 7 Unknown/Not Reported 241 Native Hawaiian/Pacific Islander 7 Unknown/Not Reported 241				

Table 6.	
Ise of Force Incidents: Subject Demographics	

Note: Percentages may not add to 100% due to rounding.

There were 10,731 officers involved in the 6,052 reported incidents. Table 7 provides a breakdown of officers by age group, sex, race and ethnicity.

More than half (53%) of officers ranged in age from 25 to 34 and 19 percent were in the 35 to 39 age group. Males (91%) were reported as the officer involved more often than females (8%). The vast majority of officers were White (76%) and non-Hispanic (81%).

Use of Force Incidents: Officer Demographics						
Officer De	emographics	#	%			
	19-24	518	5%			
	25-29	2,756	26%			
	30-34	2,945	27%			
	35-39	2,027	19%			
	40-44	1,146	11%			
٨٥٥	45-49	644	6%			
Age	50-54	329	3%			
	55-59	112	1%			
	60-64	22	<1%			
	65 and Older	2	<1%			
	Unknown/Not Reported	230	2%			
	Total Officers	10,731	100%			
	Male	9,753	91%			
Sex	Female	848	8%			
Sex	Unknown/Not Reported	130	1%			
	Total Officers	10,731	100%			
	White	8,144	76%			
	Black	781	7%			
	Asian	366	3%			
Race	American Indian/Alaskan Native	14	<1%			
	Native Hawaiian/Pacific Islander	4	<1%			
	Unknown/Not Reported	1,422	13%			
	Total Officers	10,731	100%			
	Hispanic	1,713	16%			
Ethnisit	Non-Hispanic	8,682	81%			
Ethnicity	Unknown/Not Reported	336	3%			
	Total Officers	10,731	100%			

Table 7.

Note: Percentages may not add to 100% due to rounding.

Number of Use of Fo	Force Incidents and Use of Force Types by Agency, July 11, 2019 - October 31, 2020								
	Types of Force								
PD	Total Use of Force Incidents	Total Types of Force Reported	Firearm	Chemical Agent	Impact or Electronic Control Weapon	Chokehold/ Restraint	Conduct Resulting in Serious Bodily Injury	Conduct Resulting in Death	
Albany City PD	36	40	4	5	31	0	0	0	
Albany County Sheriff	60	64	4	52	8	0	0	0	
Albion Village PD	5	9	6	0	3	0	0	0	
Alfred Village PD	1	1	0	0	1	0	0	0	
Amherst Town PD	99	178	119	11	48	0	0	0	
Amityville Village PD	10	6	4	0	2	0	0	0	
Amsterdam City PD	25	37	23	5	9	0	0	0	
Arcade Village PD	1	1	0	0	1	0	0	0	
Ardsley Village PD	1	1	0	0	1	0	0	0	
Attica Village PD	1	1	0	0	1	0	0	0	
Auburn City PD	37	61	36	10	15	0	0	0	
Ballston Spa Village PD	1	1	0	1	0	0	0	0	
Batavia City PD	7	12	5	1	6	0	0	0	
Beacon City PD	6	8	1	0	7	0	0	0	
Bedford Town PD	2	7	7	0	0	0	0	0	
Bethlehem Town PD	8	13	8	0	5	0	0	0	
Binghamton City PD	16	22	0	3	19	0	0	0	
Black River Village PD	1	4	4	0	0	0	0	0	
Brewster Village PD	1	1	0	0	1	0	0	0	
Brighton Town PD	6	10	8	0	2	0	0	0	
Brockport Village PD	7	10	5	1	4	0	0	0	
Broome County Sheriff	31	41	7	21	13	0	0	0	
Buffalo City PD	52	54	3	46	5	0	0	0	
Camillus Town & Village PD	15	19	7	0	12	0	0	0	
Canajoharie Village PD	4	3	1	1	1	0	0	0	
Canandaigua City PD	4	6	2	0	4	0	0	0	
Carmel Town PD	1	1	0	0	1	0	0	0	
Carthage Village PD	3	3	2	0	1	0	0	0	
Catskill Village PD	3	3	1	0	2	0	0	0	
Cattaraugus County Sheriff	1	1	0	1	0	0	0	0	
Cayuga County Sheriff	13	14	4	2	8	0	0	0	
Chautauqua County Sheriff	50	77	40	17	19	1	0	0	
Cheektowaga Town PD	42	43	9	5	29	0	0	0	
Chemung County Sheriff	24	43	25	1	17	0	0	0	
Chenango County Sheriff	21	22	3	19	0	0	0	0	
Chester Town PD	3	3	2	0	1	0	0	0	
Cicero Town PD	5	5	2	0	3	0	0	0	
Clinton County Sheriff	16	21	10	11	0	0	0	0	
Cohoes City PD	17	16	2	1	13	0	0	0	
Colchester Town PD	6	6	3	0	3	0	0	0	
Colonie Town PD	51	104	89	1	14	0	0	0	
Columbia County Sheriff	3	3	0	2	1	0	0	0	

Appendix A Number of Use of Force Incidents and Use of Force Types by Agency, July 11, 2019 - October 31, 2020

					Types of Fo	orce		
PD	Total Use of Force Incidents	Total Types of Force Reported	Firearm	Chemical Agent	Impact or Electronic Control Weapon	Chokehold/ Restraint	Conduct Resulting in Serious Bodily Injury	Conduct Resulting in Death
Corning City PD	1	1	0	0	1	0	0	0
Cornwall Town PD	3	4	1	0	3	0	0	0
Cortland City PD	5	5	2	1	2	0	0	0
Cortland County Sheriff	17	26	9	3	14	0	0	0
Deerpark Town PD	4	8	1	1	6	0	0	0
Delaware County Sheriff	29	47	15	24	8	0	0	0
Delhi Village PD	2	2	2	0	0	0	0	0
Depew Village PD	2	1	0	0	1	0	0	0
Dewitt Town PD	30	38	30	0	8	0	0	0
Dobbs Ferry Village PD	5	8	5	0	3	0	0	0
Dunkirk City PD	3	4	2	0	2	0	0	0
Dutchess County Sheriff	9	14	7	4	3	0	0	0
East Aurora-Town Of Aurora PD	3	3	2	0	1	0	0	0
East Fishkill Town PD	4	5	3	0	2	0	0	0
East Greenbush Town PD	7	12	11	0	1	0	0	0
East Hampton Town PD	3	5	3	0	2	0	0	0
East Hampton Village PD	1	1	1	0	0	0	0	0
East Rochester Village PD	7	9	2	0	6	1	0	0
Eastchester Town PD	6	8	3	0	5	0	0	0
Eden Town PD	2	2	0	1	1	0	0	0
Ellenville Village PD	1	1	0	0	1	0	0	0
Ellicott Town PD	8	11	6	0	4	1	0	0
Ellicottville Town PD	1	1	0	0	1	0	0	0
Elmira City PD	101	145	54	15	73	0	0	3
Elmira Heights Village PD	2	4	2	0	2	0	0	0
Endicott Village PD	20	33	20	2	11	0	0	0
Erie County Sheriff	4	4	0	2	2	0	0	0
Essex County Sheriff	8	9	4	1	3	1	0	0
Evans Town PD	8	9	4	0	5	0	0	0
Fallsburgh Town PD	2	2	0	1	1	0	0	0
Fishkill Town PD	4	6	5	0	1	0	0	0
Fishkill Village PD	2	3	2	0	1	0	0	0
Fort Edward Village PD	2	2	0	0	2	0	0	0
Frankfort Town PD	4	4	2	0	2	0	0	0
Franklin County Sheriff	3	3	0	3	0	0	0	0
Fredonia Village PD	2	2	0	1	1	0	0	0
Freeport Village PD	29	36	16	0	20	0	0	0
Fulton City PD	20	24	11	1	12	0	0	0
Fulton County Sheriff	8	18	13	1	4	0	0	0
Gates Town PD	18	24	18	1	5	0	0	0
Genesee County Sheriff	4	7	2	0	3	0	0	2
Glen Cove City PD	4	1	0	0	1	0	0	0
Glens Falls City PD	21	33	23	0	10	0	0	0
Glenville Town PD	6	7	6	0	10	0	0	0

					Types of Fo	orce		
PD	Total Use of Force Incidents	Total Types of Force Reported	Firearm	Chemical Agent	Impact or Electronic Control Weapon	Chokehold/ Restraint	Conduct Resulting in Serious Bodily Injury	Conduct Resulting in Death
Gloversville City PD	20	39	29	0	10	0	0	0
Goshen Town PD	1	1	0	0	1	0	0	0
Granville Village PD	2	2	2	0	0	0	0	0
Greece Town PD	22	34	21	2	11	0	0	0
Greenburgh Town PD	5	7	2	0	5	0	0	0
Greene County Sheriff	4	9	8	0	1	0	0	0
Greenwood Lake Village PD	2	4	3	0	1	0	0	0
Guilderland Town PD	5	6	3	0	3	0	0	0
Hamburg Town PD	10	12	8	1	3	0	0	0
Harrison Town PD	7	9	4	0	5	0	0	0
Hastings On Hudson Village PD	2	2	0	0	2	0	0	0
Haverstraw Town PD	17	23	11	0	12	0	0	0
Hempstead Village PD	37	48	12	7	29	0	0	0
Herkimer Village PD	7	11	7	1	3	0	0	0
Hornell City PD	7	17	11	1	5	0	0	0
Horseheads Village PD	4	4	2	0	2	0	0	0
Hudson City PD	10	10	1	1	8	0	0	0
Hudson Falls Village PD	2	2	0	0	2	0	0	0
Hyde Park Town PD	11	20	16	0	4	0	0	0
Irondequoit Town PD	25	39	25	3	11	0	0	0
Ithaca PD	22	37	24	2	10	0	0	1
Jamestown City PD	87	239	207	1	31	0	0	0
Jefferson County Sheriff	12	10	1	6	3	0	0	0
Johnson City Village PD	8	11	5	1	5	0	0	0
Johnstown City PD	3	1	0	1	0	0	0	0
Kenmore Village PD	2	2	0	1	1	0	0	0
Kent Town PD	7	10	7	0	3	0	0	0
Kingston City PD	12	13	1	1	11	0	0	0
Lake Placid Village PD	4	4	1	0	3	0	0	0
Lakewood-Busti PD	5	8	6	0	2	0	0	0
Lancaster Town PD	12	14	11	0	3	0	0	0
Le Roy Village PD	4	7	6	0	1	0	0	0
Liberty Village PD	13	13	7	0	6	0	0	0
Livingston County Sheriff	13	13	9	0	10	0	0	0
Lockport City PD	22	24	8	2	10	0	0	0
Lockport City PD	22	24	1	1	0	0	0	0
Long Beach City PD	3	4	1	0	3	0	0	0
Macedon PD	4	4	1	1	2	0	0	0
Madison County Sheriff	12	4	0	11	1	0	0	0
-								-
Malone Village PD	5	5	0	0	5	0	0	0
Mamaroneck Village PD	18	23	10	0	13	0	0	0
Manlius Town PD	10	21	11	0	10	0	0	0
Mechanicville City PD	1	0	0	0	0	0	0	0
Medina Village PD	9	16	7	0	9	0	0	0

Total Total PDTotal Use of Force Report Report Report PranImpact of Chemical AgentResulting Report Conduct Restrict Restrict <th></th> <th></th> <th colspan="8">Types of Force</th>			Types of Force							
Niddlevon City PD 26 44 29 2 13 0 0 Millorox Vilage PD 1 1 0 0 3 0 Monroe Vilage PD 2 3 0 0 3 0 Monroe Vilage PD 4 4 0 0 4 0 0 Montgomery County Shertiff 16 17 4 3 10 0 0 Montgomery Vilage PD 2 2 0 1 1 0 0 0 Mont Hope Town PD 1 1 0 0 1 0 0 Mount Hope Town PD 20 29 12 1 16 0 0 Mount More Town PD 79 95 2 7 84 0 0 New Palt Town A Vilage PD 4 6 2 1 16 0 0 New Palt Town A Vilage PD 1 2 1 16 2 <	PD	Use of Force	Types of Force	Firearm		Impact or Electronic Control	Chokehold/	Resulting in Serious Bodily	Conduct Resulting in Death	
Millerook Village PD 1 1 0 0 1 0 0 0 Milleron Village PD 2 3 0 0 3 0 Mornee County Sheriff 6 15 12 2 1 0 0 Montgomery County Sheriff 16 17 4 3 10 0 0 Montgomery Village PD 2 2 0 1 1 0 0 0 Mount Pleasant Town PD 2 2 1 0 1 0 0 0 Mount Pleasant Town PD 20 29 12 1 16 0 0 0 Mount Pleasant Town PD 20 29 12 1 0 0 0 New Hatford Town & Village PD 4 6 2 1 3 0 0 NYE Ervice Department 1.916 2.250 66 276 1.908 0 NYE Service Department	Menands Village PD	4	7	1	0	6	0	0	0	
Millerton Village PD 2 3 0 0 3 0 Morneo County Sheriff 8 15 12 2 1 0 0 Morneo Village PD 4 4 0 0 0 0 Montgomery County Sheriff 16 17 4 3 10 0 0 Mont loge Town PD 1 1 0 0 1 0 0 Mount Hope Town PD 2 2 1 0 1 0 0 Mount Hope Town PD 20 29 12 1 16 0 0 Mount Nemon Xillage PD 20 29 12 1 3 0 0 New Patic Town & Village PD 4 6 2 1 3 0 0 NYC Merion Row A Village PD 4 6 6 0 0 0 0 NYC Merion Row A Village PD 1 2 1 0 1 <	Middletown City PD	26	44	29	2	13	0	0	0	
Morroe County Sheriff 8 15 12 2 1 0 0 Morroe Village PD 4 4 0 0 4 0 0 0 Montgomery Village PD 2 2 0 1 1 0 0 0 Mount Plessant Town PD 2 2 1 0 1 0 0 Mount Plessant Town PD 20 29 12 1 16 0 0 Mount Vernon City PD 79 95 2 7 84 0 0 New Statiling PD 7 95 2 1 3 0 0 New Rochelle City PD 19 31 25 0 6 0 0 NYC Enviro. Protection PD 4 6 6 27 1908 0 0 NYC Folice Department 1,916 2.250 66 276 1,908 0 0 NYS Park Police 23	Millbrook Village PD	1	1	0	0	1	0	0	0	
Monroe Village PD 4 4 0 0 4 0 0 Montgomery County Sheriff 16 17 4 3 10 0 0 Montgomery Village PD 2 2 0 1 1 0 0 1 Mount Veron City PD 20 29 12 1 16 0 0 New Hartford Town & Village PD 2 4 3 0 1 0 0 New Hartford Town & Village PD 2 4 3 0 1 0 0 New Palt Town & Village PD 4 6 2 1 3 0 0 New Palt Town & Village PD 4 6 6 0 0 0 0 NYC Enviro. Protection PD 4 6 6 1 0 0 0 0 NYC Metor Trans. Authority 32 31 4 0 0 0 0 0 New York Mil	Millerton Village PD	2	3	0	0	0	3	0	0	
Montgomery County Sheriff 16 17 4 3 10 0 0 Montgomery Village PD 2 2 0 1 1 0 0 1 Mount Oper Town PD 1 1 0 0 1 0 0 Mount Vernon City PD 20 2 1 0 1 0 0 Nessau County PD 79 95 2 7 84 0 0 New Reachelle City PD 19 31 25 0 6 0 0 NVC Enviro. Protection PD 4 6 2 1 3 0 0 NVC Enviro. Protection PD 4 6 6 0 0 0 0 NYC Enviro. Conservation PD 4 4 4 0 0 0 0 NYS Park Police 15 15 2 3 11 0 0 NYS Park Police 13 3 1	Monroe County Sheriff	8	15	12	2	1	0	0	0	
Montgomery Village PD 2 2 0 1 1 0 0 1 Mount Hope Town PD 1 1 0 1 0 1 0 0 1 Mount Heasan Town PD 22 2 1 0 16 0 0 Mount Peasan Town A Village PD 79 95 2 7 84 0 0 1 New Hardfor Town A Village PD 2 4 3 0 1 0 0 0 New Patitz Town & Village PD 19 31 25 0 6 0 0 0 0 NYC Enviro. Protection PD 4 6 6 0	Monroe Village PD	4	4	0	0	4	0	0	0	
Montgomery Village PD 2 2 0 1 1 0 0 1 Mount Hope Town PD 1 1 0 1 0 1 0 0 1 Mount Heasan Town PD 22 2 1 0 16 0 0 Mount Peasan Town A Village PD 79 95 2 7 84 0 0 1 New Hardfor Town A Village PD 2 4 3 0 1 0 0 0 New Patitz Town & Village PD 19 31 25 0 6 0 0 0 0 NYC Enviro. Protection PD 4 6 6 0	Montgomery County Sheriff	16	17	4	3	10	0	0	0	
Mount Hope Town PD 1 1 0 0 1 0 0 Mount Verno City PD 20 29 12 1 16 0 0 Nassau County PD 79 95 2 7 84 0 0 New Hartford Town & Village PD 2 4 3 0 1 0 0 New Patz Town & Village PD 4 6 2 1 3 0 0 New Rotchelle City PD 19 31 25 0 6 0 0 NYC Enviro. Protection PD 4 6 6 21 0 0 0 NYC Enviro. Protection PD 4 4 6 21 0 0 0 0 NYC Mills Village PD 1 2 1 0 1 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0 0		2	2	0	1	1	0	0	0	
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	T	Types of Force							
PD	Total Use of Force Incidents	Total Types of Force Reported	Firearm	Chemical Agent	Impact or Electronic Control Weapon	Chokehold/ Restraint	Conduct Resulting in Serious Bodily Injury	Conduct Resulting in Death	
Ontario County Sheriff	15	23	12	8	3	0	0	0	
Orange County Sheriff	29	139	129	3	3	4	0	0	
Orchard Park Town PD	3	3	1	0	2	0	0	0	
Orleans County Sheriff	4	3	1	0	2	0	0	0	
Ossining Village PD	6	7	2	0	5	0	0	0	
Oswego City PD	20	23	15	0	8	0	0	0	
Oswego County Sheriff	9	10	0	0	10	0	0	0	
Owego Village PD	7	8	2	0	6	0	0	0	
Peekskill City PD	17	22	9	0	13	0	0	0	
Pelham Manor Village PD	10	13	8	0	5	0	0	0	
Pelham Village PD	9	14	11	0	3	0	0	0	
Penn Yan Village PD	4	4	0	0	4	0	0	0	
Perry Village PD	2	3	1	0	2	0	0	0	
Plattsburgh City PD	13	11	2	1	8	0	0	0	
Port Chester Village PD	18	24	8	0	16	0	0	0	
Port Jervis City PD	10	12	2	8	2	0	0	0	
Port Washington PD	2	2	0	0	2	0	0	0	
Poughkeepsie City PD	14	14	0	2	12	0	0	0	
Poughkeepsie Town PD	14	16	7	4	5	0	0	0	
Putnam County Sheriff	9	10	2	0	8	0	0	0	
Quogue Village PD	5	7	7	0	0	0	0	0	
Ramapo Town PD	8	10	0	3	7	0	0	0	
Rensselaer City PD	6	7	1	1	5	0	0	0	
Rensselaer County Sheriff	12	19	8	6	5	0	0	0	
Riverhead Town PD	4	5	1	1	3	0	0	0	
Rochester City PD	142	286	0	264	22	0	0	0	
Rockland County Sheriff	47	56	3	36	12	5	0	0	
Rockville Centre Village PD	4	6	5	0	1	0	0	0	
Rome City PD	5	6	0	0	4	2	0	0	
Rosendale Town PD	2	5	2	0	3	0	0	0	
Rotterdam Town PD	12	18	9	0	9	0	0	0	
Rye Brook Village PD	3	5	2	0	3	0	0	0	
Sackets Harbor Village PD	1	1	0	1	0	0	0	0	
Saratoga County Sheriff	34	44	20	4	20	0	0	0	
Saratoga Springs City PD	10	18	15	0	3	0	0	0	
Saugerties Town PD	1	1	0	0	1	0	0	0	
Scarsdale Village PD	5	5	3	0	2	0	0	0	
Schenectady City PD	90	130	87	8	33	0	0	2	
Schenectady County Sheriff	53	58	9	45	3	1	0	0	
Schodack Town PD	4	5	3	0	2	0	0	0	
Schoharie County Sheriff	9	15	11	1	3	0	0	0	
Schuyler County Sheriff	10	11	3	0	6	2	0	0	
Scotia Village PD	4	4	4	0	0	0	0	0	
Seneca County Sheriff	4	5	1	1	3	0	0	0	

		Types of Force						
PD	Total Use of Force Incidents	Total Types of Force Reported	Firearm	Chemical Agent	Impact or Electronic Control Weapon	Chokehold/ Restraint	Conduct Resulting in Serious Bodily Injury	Conduct Resulting in Death
Seneca Falls Town PD	8	12	6	2	4	0	0	0
Shelter Island Town PD	2	3	2	0	1	0	0	0
Sidney Village PD	6	7	2	0	5	0	0	0
Somers Town PD	1	1	1	0	0	0	0	0
South Glens Falls Village PD	1	1	0	0	1	0	0	0
South Nyack-Grand View PD	3	3	2	0	1	0	0	0
Southampton Town PD	45	43	23	3	17	0	0	0
Spring Valley Village PD	21	30	12	0	18	0	0	0
Springville Village PD	1	1	0	0	1	0	0	0
St Johnsville Village PD	1	1	0	0	1	0	0	0
St Lawrence County Sheriff	11	9	3	0	6	0	0	0
Steuben County Sheriff	3	3	0	3	0	0	0	0
Stillwater Town PD	6	10	7	0	3	0	0	0
Suffolk County PD	207	277	90	7	180	0	0	0
Suffolk County Sheriff	55	57	3	43	11	0	0	0
Sullivan County Sheriff	12	14	2	11	1	0	0	0
SUNY Police - Albany	9	12	7	0	5	0	0	0
SUNY Police - Brockport	1	1	1	0	0	0	0	0
SUNY Police - Buffalo	3	7	5	1	1	0	0	0
SUNY Police - Cobleskill	1	1	1	0	0	0	0	0
SUNY Police - Delhi	3	5	4	0	1	0	0	0
SUNY Police - Farmingdale	1	1	0	0	1	0	0	0
SUNY Police - Morrisville	2	3	1	1	1	0	0	0
SUNY Police - Oneonta	3	7	6	1	0	0	0	0
SUNY Police - Oswego	1	1	1	0	0	0	0	0
SUNY Police - Upstate	8	12	9	0	3	0	0	0
Syracuse City PD	113	147	28	64	49	0	3	3
Tarrytown Village PD	3	6	6	0	0	0	0	0
Ticonderoga Town PD	6	7	2	1	4	0	0	0
Tioga County Sheriff	23	25	3	22	0	0	0	0
Tompkins County Sheriff	29	30	2	19	9	0	0	0
Tonawanda City PD	19	28	14	5	9	0	0	0
Tonawanda Town PD	17	26	16	0	9	1	0	0
Troy City PD	103	148	95	2	51	0	0	0
Tupper Lake Village PD	2	2	1	1	0	0	0	0
Ulster County Sheriff	17	22	11	4	7	0	0	0
Utica City PD	35	41	13	3	25	0	0	0
Vestal Town PD	25	33	13	1	18	0	1	0
Walden Village PD	3	33	0	0	3	0	0	0
Walden Village PD Wallkill Town PD	31	63	47	1	15	0	0	0
Wappingers Falls Village PD	3	1	47	0	0	0	0	0
Wappingers Fails Village PD Warren County Sheriff	16	17	2	2	12	1	0	0
Warrsaw Village PD	2	6	2	0	4	0	0	0
Washington County Sheriff	10	11	2	1	8	0	0	0

		Types of Force							
PD	Total Use of Force Incidents	Total Types of Force Reported	Firearm	Chemical Agent	Impact or Electronic Control Weapon	Chokehold/ Restraint	Conduct Resulting in Serious Bodily Injury	Conduct Resulting in Death	
Washingtonville Village PD	2	2	0	0	2	0	0	0	
Waterloo Village PD	3	3	1	0	2	0	0	0	
Watertown City PD	32	50	24	16	10	0	0	0	
Watervliet City PD	11	18	16	0	2	0	0	0	
Waverly Village PD	2	2	0	0	2	0	0	0	
Wayne County Sheriff	21	32	15	9	8	0	0	0	
Webb Town PD	1	1	1	0	0	0	0	0	
Webster Town And Village PD	6	10	7	0	3	0	0	0	
Wellsville Village PD	3	3	1	0	2	0	0	0	
West Seneca Town PD	12	21	11	0	10	0	0	0	
Westchester Co Dept Pub Safety	2	2	0	0	2	0	0	0	
Westhampton Beach Village PD	2	2	1	0	1	0	0	0	
Whitestown Town PD	2	2	0	0	2	0	0	0	
Woodbury Town PD	1	1	0	0	1	0	0	0	
Wyoming County Sheriff	14	19	8	2	9	0	0	0	
Yates County Sheriff	5	12	8	0	4	0	0	0	
Yonkers City PD	44	62	31	0	31	0	0	0	
Yorktown Town PD	10	17	14	0	3	0	0	0	
Grand Total	6,052	8,381	2,986	1,352	3,996	24	7	16	

*New York City Police Department (NYPD) only reported to the state incidents where a firearm was used or discharged, or where a chemical agent, electronic control weapon, or impact weapon was used or deployed. The agency did not indicate in its reporting if a chokehold or restraint was used or if there was conduct that resulted in serious bodily injury or death. The NYPD <u>publishes use of force information</u> on its website.

Appendix B

Executive Law §837-t - Use of Force Statute

1. The chief of every police department, each county sheriff, and the superintendent of state police shall report to the division, in a form and manner as defined in regulations by the division, any instance or occurrence in which a police officer, as defined in subdivision thirty-four of section 1.20 of the criminal procedure law, or a peace officer, as defined in section 2.10 of the criminal procedure law, employs the use of force as follows:

a. brandishes, uses or discharges a firearm at or in the direction of another person; or

b. uses a chokehold or similar restraint that applies pressure to the throat or windpipe of a person in a manner that may hinder breathing or reduce intake of air; or

c. displays, uses or deploys a chemical agent, including, but not limited to, oleoresin capsicum, pepper spray or tear gas; or

d. brandishes, uses or deploys an impact weapon, including, but not limited to, a baton or billy; or

e. brandishes, uses or deploys an electronic control weapon, including, but not limited to, an electronic stun gun, flash bomb or long range acoustic device; or

f. engages in conduct which results in the death or serious bodily injury of another person. Serious bodily injury is defined as bodily injury that involves a substantial risk of death, unconsciousness, protracted and obvious disfigurement, or protracted loss of impairment of the function of a bodily member, organ or mental faculty.

2. On an annual basis, the commissioner shall conspicuously publish on the department's website a comprehensive report including the use of force information received under subdivision one of this section during the preceding year. Such reports shall not identify the names of the individuals involved, but for each event reported, shall list the date of the event, the location disaggregated by county and law enforcement agencies involved, the town or city, and any additional relevant location information, a description of the circumstances of the event, and the race, sex, ethnicity, age, or, if unknown, approximate age of all persons engaging in the use of force or suffering such injury.

Appendix C

Data Collection Systems

The Division of Criminal Justice Services implemented an interim, online reporting tool that allowed police agencies to comply with the law because there was a short window of time between enactment and effective date. At the same time, DCJS began working with the state Office of Information Technology Services (ITS) to design, develop and implement a permanent data collection system.

While the interim tool provided a necessary, short-term solution, its functionality was limited. Agencies were unable to report they had no use of force incidents and the interim tool did not have the robust quality assurance checks that are integral to DCJS's permanent data reporting systems. Police agencies reported use of force incidents through this interim tool from the effective date of the law through Oct. 31, 2020. Prior to publication of this report, DCJS proactively contacted agencies to resolve data discrepancies and provided all agencies the opportunity to review the information they submitted for accuracy and completeness.

Effective Nov. 1, 2020, DCJS and ITS implemented a permanent data collection system that allows DCJS to track reporting compliance, ensure data quality and enable police agencies to fully comply with current state and federal use of force reporting requirements.

Data Elements and Reporting Modifications

Each incident may involve multiple officers, subjects and/or types of force used. There are 14 different data elements collected for each incident: agency name; incident date; city, town or village of occurrence; county of occurrence; incident circumstance; use of force type; and age, sex, race and ethnicity of officer(s) and subject(s):

Data Elements				
	Police Agency Name			
	Incident Date			
Incident Details	City/Town/Village (where incident			
	occurred)			
	County (where incident occurred)			
	Incident Circumstance			
	Officer Use of Force Type			
Officer Details (Up to 10	Officer Sex			
Officers can be reported	Officer Race			
per incident)	Officer Ethnicity			
	Officer Age			
	Subject Sex			
Subject Details (Up to 10	Subject Race			
Subjects can be reported per incident)	Subject Ethnicity			
	Subject Age			

Data Elements

Agencies are able to report the type of weapon and how the weapon was used (action type) during the incident. They also are able to report when an officer used a chokehold or similar restraint, engaged in conduct that resulted in serious bodily injury and/or engaged in conduct that resulted in death.

In an effort to address ambiguities in the law, modifications were made to how agencies were able to report action type during the 16-month period covered in this report. DCJS made the changes after receiving feedback from police agencies and consulting with the state's Municipal Police Training Council (MPTC), an eight-member, independently appointed body that sets minimum training requirements for municipal police recruits and peace officers and develops model policies for law enforcement, among other responsibilities.

From the effective date of the law through Dec. 31, 2019, agencies could choose one or more of the following action types to describe how each weapon was used: brandished, used, discharged, displayed and/or deployed (Table 1).

weapon and Action Types Reported: Jul	y 11, 2019 - L	vecemp	er 31, 2019		
Weapon	Action Type				
Firearm	Brandished	Used	Discharged		
Chemical Agent	Displayed	Used	Deployed		
Electronic Control Weapon	Brandished Used Deployed				
Impact Weapon	Brandished	Used	Deployed		

Table 1 Waapan and Action Types Banartad: 14/4 11 2010 December 21 2010

From Jan. 1, 2020, through Oct. 31, 2020, action types for firearm were consolidated into one action type; impact and electronic control weapons were consolidated into a single weapon category; and two of action types for chemical agent were consolidated (Table 2).

Weapon and Action Types Reported: <i>January 1, 2020 - October 31, 2020</i>							
Weapon	Acti	on Type					
Firearm	Brandished, Used, or Discharged						
Impact or Electronic Control Weapon Brandished, Used, or Deployed							
Chemical Agent	Displayed	Used/Deployed					

Table 2

As development of a permanent data collection system progressed, additional modifications were made to weapon and action type definitions to further help ensure that information reported would accurately and clearly reflect how officers were using force while on duty. Effective Nov. 1, 2020, police agencies are able to report one of four weapon and one of two action types, depending on the weapon (Table 3).

weapon and Action Types Reported for Future Reports							
Weapon	Action Type						
Firearm	Brandished	Used/Discharged					
Chemical Agent	Displayed	Used/Deployed					
Electronic Control Weapon	Brandished	Used/Deployed					
Impact Weapon	Brandished	Used/Deployed					

Table 3Weapon and Action Types Reported for Future Reports

Appendix D

Data Elements and Values

Table 1 shows the data elements and values collected for each incident and Table 2 shows the types of force used by officers.

Data Elements and Values						
Response ID - Assigned by DCJS	Officer Ethnicity					
Police Agency Name	Hispanic					
Incident Date	Non-Hispanic					
City/Town/Village (where incident occurred)	Unknown/Not Reported					
County (where incident occurred)	Officer Age					
Incident Circumstance	Age in Years					
Response to Unlawful or Suspicious Activity	Unknown/Not Reported					
Follow Up Investigation	Subject Sex					
Executing Arrest	Male					
Traffic Stop	Female					
Medical, Mental Health, or Welfare Assistance	Unknown/Not Reported					
Service of a Warrant	Subject Race					
Routine Patrol Other than Traffic Stop	White					
Transporting/Holding Arrestees	Black					
Demonstration	Asian					
Service of a Court Order	American Indian/Alaskan Native					
JAIL - Responding to a Disturbance	Native Hawaiian/Pacific Islander					
JAIL - Assault/Fighting Other Inmate or Officer	Unknown/Not Reported					
JAIL - Discovery/Removal of Contraband	Subject Ethnicity					
JAIL - Escape/Attempted Escape/Capture/Return	Hispanic					
Other	Non-Hispanic					
Officer Sex	Unknown/Not Reported					
Male	Subject Age					
Female	Age in Years					
Unknown/Not Reported	Unknown/Not Reported					
Officer Race						
White						
Black						
Asian						
American Indian/Alaskan Native						
Native Hawaiian/Pacific Islander						
Unknown/Not Reported						

Table 1.

Table 2 also shows the dates each use of force type was available for agencies to report through the temporary reporting system.

Officer Use of Force Type (check all that apply)		vailable for s to Report
Oncer use of Force Type (check an that apply)	7/11/2019 - 12/31/2019	01/01/2020 - 10/31/2020
Brandished Firearm	Х	
Used Firearm	Х	
Discharged Firearm	Х	
Displayed Chemical Agent	Х	
Deployed Chemical Agent	Х	
Used Chemical Agent	Х	
Brandished an Electronic Control Weapon	Х	
Used an Electronic Control Weapon	Х	
Deployed an Electronic Control Weapon	Х	
Brandished an Impact Weapon	Х	
Used an Impact Weapon	Х	
Deployed an Impact Weapon	Х	
Firearm (Brandished, Used or Discharged)		х
Chemical Agent (Displayed, Deployed or Used)		Х
Impact or Electronic Control Weapon (Brandished, Used or Deployed)		x
Used a Chokehold or Other Similar Restraint	Х	Х
Conduct that Resulted in Serious Bodily Injury	Х	Х
Conduct that Resulted in Death	Х	X

Table 2. Use of Force Types

Appendix E

Use of Force Type Definitions

Regulations defining use of force types took effect Jan. 1, 2020 and underwent revisions that took effect Nov. 1, 2020. The initial and revised definitions are shown below:

Definitions (Effective Jan. 1, 2020 - Oct. 31, 2020)

- **Display a chemical agent** to point a chemical agent at a subject.
- **Use/Deploy a chemical agent** the operation of the chemical agent against a person in a manner capable of causing physical injury.
- **Brandishes/Uses/Discharges a firearm** the operation of a firearm against a person in a manner capable of causing physical injury.
- Brandishes/Uses/Deploys an impact weapon or electronic control weapon the operation of an impact weapon or electronic control weapon against a person in a manner capable of causing physical injury.
- Uses a chokehold or other similar restraint any application of sustained pressure to the throat or windpipe of a person in a manner that may hinder breathing or reduce intake of air
- Serious bodily injury bodily injury that creates or causes:
 - a substantial risk of death;
 - unconsciousness;
 - serious and protracted disfigurement; or
 - protracted loss or impairment of the function of any bodily member, organ or mental faculty.

Definitions (effective Nov.1, 2020)

- **Display a chemical agent** to point a chemical agent at a person or persons.
- Use/Deploy a chemical agent the operation of the chemical agent against a person or persons in a manner capable of causing physical injury as defined in Penal Law (PL) Article 10.
- Brandishes a firearm to point a firearm at a person or persons.
- Uses/Discharges a firearm to discharge a firearm at or in the direction of a person or persons
- Brandishes an electronic control weapon to point an electronic control weapon at a person or persons

- Uses/Deploys electronic control weapon the operation of an electronic control weapon against a person or persons in a manner capable of causing physical injury as defined in PL Article 10.
- Brandishes an impact weapon to point an impact weapon at a person or persons
- Uses/deploys an impact weapon the operation of an impact weapon against a person or persons in a manner capable of causing physical injury as defined in PL Article 10
- Uses a chokehold or other similar restraint any application of sustained pressure to the throat or windpipe of a person in a manner that may hinder breathing or reduce intake of air.
- Serious bodily injury bodily injury that creates or causes:
 - a substantial risk of death; or
 - unconsciousness; or
 - serious and protracted disfigurement; or
 - protracted loss or impairment of the function of any bodily member, organ or mental faculty