

### June 14, 2024

**Division of Criminal Justice Services** 80 South Swan Street, Room 118, Albany, NY 12210

Videoconference Locations:

445 Hamilton Ave., Suite 1203, White Plains Nassau County Crime Laboratory, 1194 Prospect Ave., Westbury

#### 9:05 AM – 1:31 PM

### **DRAFT MEETING MINUTES**

#### **Commission Members in Attendance:**

Pasquale Buffolino, Ph.D. James Chithalen, Ph.D.<sup>1</sup> Lydia de Castro Jill Dooley, Ph.D. Steven Epstein, Esq. William Fitzpatrick, Esq. Jessica Goldthwaite, Esq.<sup>2</sup> Michael Marciano, Ph.D. Hon. Angela Mazzarelli<sup>2</sup> Beverly Rauch Rossana Rosado Michelli Schmitz Ann Willey, Ph.D.

#### **DCJS Staff in Attendance:**

Dean DeFruscio Colleen Glavin, Esq. Katherine Mayberry Shelley Palmer Joseph Popcun

<sup>&</sup>lt;sup>1</sup> Representative of Commission Member James V. McDonald, M.D.

<sup>&</sup>lt;sup>2</sup> In accordance with a resolution and related procedures regarding the use of videoconferencing under extraordinary circumstances, which were adopted by the Commission on Forensic Science on June 9, 2023 and added to its bylaws, Jessica Goldthwaite and Judge Mazzarelli participated by videoconferencing from private locations due to extraordinary circumstances and, thus, shall not count toward quorum, but were able to participate and vote as there was a quorum of members at physical location(s) open to the public.

Brianna Robinson Lindsey Rockwell Elizabeth Suparmanto **Christine Sweet** 

#### Other Attendees:

Kristie Barba – Onondaga County Medical Examiner's Office Forensic Toxicology Laboratory Julia Becker – New York State Police Crime Laboratory Bradley Brown – New York State Police Crime Laboratory John Clark – Monroe County Crime Laboratory Russell Gettig - New York State Police Crime Laboratory Rebecca Hartman – Monroe County Forensic Toxicology Laboratory Ranee Ho – Onondaga County Center for Forensic Sciences Laboratory Nichole Hurbanek – New York State Police Crime Laboratory Michael Jankowiak – New York State Police Crime Laboratory Justine Kreso – Onondaga County Center for Forensic Sciences Laboratory Thomas Leach – New York State Police Crime Laboratory Christopher McDonough – New York State Police Crime Laboratory Julie Pizziketti – New York State Police Crime Laboratory Ray Wickenheiser – New York State Police Crime Laboratory

Chair Rosado opened the meeting and took a roll call as the members were in attendance in Albany and virtually. A quorum was established with 10 voting members (Buffolino, de Castro, Dooley, Epstein, Fitzpatrick, Marciano, Rauch, Rosado, Schmitz, and Willey); two members participated from private locations due to extraordinary circumstances (Goldthwaite and Mazzarelli<sup>3</sup>).

Chair Rosado then notified the Commission that a request was received from the 00:01:45 Onondaga County Center for Forensic Sciences Laboratory to speak on the firearms examination results discussed at the March meeting. A motion to approve this request and discuss under Old Business was made by Ms. de Castro, seconded by Mr. Fitzpatrick, and approved unanimously with 12 votes.

Chair Rosado then requested a motion to approve the June 14, 2024, agenda. The motion to approve the agenda was made by Judge Mazzarelli, seconded by Ms. Schmitz, and approved unanimously with 12 votes.

Then, Chair Rosado requested a motion to approve the minutes of the March 15, 2024, Commission meeting. The motion to approve the minutes was made by Mr. Epstein. Ms. Rauch seconded the motion, and it was approved with 11 votes (Buffolino, de Castro, Dooley, Epstein, Fitzpatrick, Goldthwaite, Marciano, Mazzarelli, Rauch, Rosado, and Schmitz) and 1 abstention (Willey).

Approximate video times

00:00:00 00:01:43

00:02:36

00:02:39 00:03:17

00:03:20 00:04:12

<sup>&</sup>lt;sup>3</sup> See FN. 2 above.

Chair Rosado then requested a motion to enter Executive Session to discuss matters relating to a current investigation or matters that may lead to the appointment, promotion, demotion, discipline, or suspension of a particular person. The motion was made by Judge Mazzarelli, seconded by Dr. Marciano. The motion was approved with 10 votes (Buffolino, de Castro, Dooley, Fitzpatrick, Marciano, Mazzarelli, Rauch, Rosado, Schmitz, and Willey) and 2 opposed (Epstein, Goldthwaite).

Approximate video times

00:04:21 00:06:22

The Commission adjourned into Executive Session at 9:10 am.

The Commission reconvened the open meeting at 10:34 am. Chair Rosado stated that she was attending the meeting remotely and Dr. Dooley would step in as chair. By unanimous consent, the Commission agreed to form a working group that will further review an ongoing complaint regarding the New York City Office of Chief Medical Examiner.

Next, the Commission reviewed the Accreditation/Laboratory Updates. Matters regarding the following laboratories were considered: Monroe County Forensic Toxicology 00:08:54 Laboratory, Suffolk County Office of the Medical Examiner Forensic Toxicology Laboratory, 00:45:33 Erie County Central Police Services Forensic Laboratory, Erie County Medical Examiner's Office Forensic Toxicology Laboratory, Monroe County Crime Laboratory, Nassau County Medical Examiner Division of Forensic Toxicology, New York City OCME Department of Forensic Toxicology, New York City OCME Forensic Anthropology Unit, New York State Police Crime Laboratory, Niagara County Sheriff's Office Forensic Laboratory, Onondaga County Medical Examiner's Office Forensic Toxicology Laboratory, Suffolk County Crime Laboratory, Westchester County Department of Laboratories and Research Division of Forensic Toxicology, and Yonkers Police Department Forensic Science Laboratory. Representatives from the laboratories were available in person or via WebEx to respond to members' questions.

The Commission reviewed the Monroe County Forensic Toxicology Laboratory ANAB accreditation assessment documentation. Ms. Schmitz made a motion to renew the laboratory's New York State Accreditation for a period concurrent with their ANAB 00:09:00 00:11:05 accreditation. Mr. Fitzpatrick seconded the motion, and it was approved unanimously with 12 votes.

The Commission reviewed the Suffolk County Office of the Medical Examiner Forensic Toxicology Laboratory ANAB accreditation assessment documentation. Ms. Schmitz made a motion to renew the laboratory's New York State Accreditation for a period concurrent with their ANAB accreditation. Ms. Rauch seconded the motion, and it was approved unanimously with 12 votes.

00:11:12

00:22:30

The next agenda item was Old Business. Dr. Dooley provided the Commission members with a verbal update on the Familial Search Program. Both the Partial Match and Familial Search program policies are due for a periodic review. The Office of Forensic Service (OFS) will circulate the current policies to members for review and discussion at the September meeting.

00:45:35 00:54:05 The Commission then discussed additional complaints received in response to the discussion at the March 2024 meeting. During this item, members of the Onondaga County Center for Forensic Sciences Laboratory were given the opportunity to speak. Representatives from the New York State Police Crime Laboratory were also available to respond to members' questions.

A break was taken at 12:32 pm and the meeting resumed at 12:42 pm. 02:08:35 02:18:12

The Commission then discussed matters regarding a legal memo from Special Counsel Natasha Harvin-Locklear outlining the duties and responsibilities of members in response to a request from Dr. Marciano.

The next agenda item was New Business. It was noted that a report of the Expert Working Group on Human Factors in Forensic DNA Interpretation, co-sponsored by the National Institute of Standards and Technology (NIST) and the National Institute of Justice (NIJ), titled *Forensic DNA Interpretation and Human Factors: Improving Practice Through a Systems Approach*, was released in May 2024. The report was recognized by members of the Subcommittee at their May 2024 meeting, and they requested the Biological Sciences Technical Working Group to review the report and provide feedback for the August Subcommittee's August 2024 meeting. The Subcommittee will discuss at their August meeting and advise the Commission on the matter.

Mr. Fitzpatrick requested to return to old business to discuss the additional complaints and determine whether the Commission could make a motion for reviewing the original analyst casework. Mr. Fitzpatrick made a motion to request New York State Police Crime Laboratory voluntarily review five years of casework of the firearms analyst involved in the 2017 examination of evidence discussed by the Commission. The Commission requests a report of the review, including a summary of all the times the individual testified and the conclusions they testified to. The motion was seconded by Mr. Epstein. The motion was approved with 10 votes (Fitzpatrick, Mazzarelli, Chithalen, Buffolino, Goldthwaite, Rauch, Epstein, de Castro, Marciano, and Schmitz), 1 opposed (Willey) and 1 abstention (Dooley).

02:43:25 The next agenda item was Laboratory Disclosures and matters from the Monroe 03:05:12 County Office of the Medical Examiner Forensic Toxicology Laboratory, Nassau County Office of the Medical Examiner Division of Forensic Services, Nassau County Office of the Medical Examiner Toxicology Laboratory, New York City OCME Department of Forensic Biology, New York City OCME Department of Forensic Toxicology, New York City Police Department Police Laboratory, New York State Police Crime Laboratory, Niagara County Sheriff's Office Forensic Laboratory, Onondaga County Health Department Center for Forensic Sciences, Suffolk County Crime Laboratory, Suffolk County Office of the Chief Medical Examiner Toxicology Laboratory, Westchester County Department of Laboratories and Research Division of Forensic Sciences, Westchester County Department of Laboratories and Research Division of Forensic Toxicology, Erie County Central Police Services Forensic Laboratory, and Westchester County Department of Public Safety Crime Laboratory were reviewed. Representatives from the laboratories were available in person or via WebEx to respond to members' questions.

Dr. Dooley stated that the next meeting of the Commission will take place on September 27, 2024. Ms. Rauch made a motion to adjourn the meeting, seconded by Mr. Epstein and approved unanimously.

Note:

Video of the open meeting is available on YouTube.

#### dcjs.sm.forensiclabs

From:	QualityMatters <qualitymatters@anab.org></qualitymatters@anab.org>
Sent:	Friday, July 12, 2024 12:22 PM
То:	LADY, JENNIFER; dcjs.sm.forensiclabs
Cc:	DOTY, CHRISTOPHER
Subject:	RE: Appointment of New Director, NYPD Latent Print Section (FI-0053)

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Good morning Jennifer,

Thank you for the notification! I've made the necessary updates on my end. Lt. Christopher Doty now has the same access as Lt. Rosalyn Joseph.

Have a great weekend!

Monèt

#### Monèt Hoo | ANAB Coordinator, Forensics

ANSI National Accreditation Board D.C. | Fort Wayne Tel: 414.501.5372 | <u>mhoo@anab.org</u> <u>www.anab.org</u> ANAB Training - <u>www.anab.org/training</u>



From: LADY, JENNIFER <JENNIFER.LADY@nypd.org>
Sent: Friday, July 12, 2024 10:14 AM
To: QualityMatters <qualitymatters@anab.org>; dcjs.sm.forensiclabs (dcjsforensiclabs@dcjs.ny.gov)
<dcjsforensiclabs@dcjs.ny.gov>
Cc: DOTY, CHRISTOPHER <CHRISTOPHE.DOTY@nypd.org>
Subject: [EXTERNAL] Appointment of New Director, NYPD Latent Print Section (FI-0053)

Good Morning,

This email is to inform you that Lt. Christopher Doty has been appointed as Director/Commanding Officer of the NYPD Latent Print Section, replacing Lt. Rosalyn Joseph, effective Monday 7/15/2024.

Jennifer Lady Quality Assurance Manager NYPD, Latent Print Section jennifer.lady@nypd.org Office: 646.610.4220

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August 2, 2024

Lieutenant Christopher Doty New York City Police Department Latent Print Section One Police Plaza, Room 506 New York, New York 10038

Dear Lieutenant Doty,

Congratulations! On August 1, 2024, ANAB approved the continuation of your organization's accreditation based upon the results of your recent surveillance activity. Continuation of accreditation is a formal acknowledgement that your organization continues to operate in conformance with accreditation requirements. The report was provided to you during the assessment activity.

The provided ANAB accreditation symbol (<u>Inspection</u>) may be used to convey your accredited status. An accreditation symbol must not be used in any way which implies accreditation in any area outside of the scope of accreditation. If appropriate, the accreditation symbol may be used on your organization's website, reports, letterhead, business cards, and other official documents. Please refer to <u>PR 1018 Policy on Use of ANAB Accreditation Symbols and Claims of Accreditation Status</u> for all required information. This policy also provides information on your ability to use a combined mark that contains the ANAB accreditation symbol and the International Laboratory Accreditation Cooperation (ILAC) mark.

The next assessment activity is a Surveillance Assessment scheduled for July 2025.

Thank you for your ongoing commitment to quality and the accreditation process.

Sincerely,

Jami StClair Senior Manager of Accreditation ANSI National Accreditation Board

cc: Jennifer Lady, Quality Assurance Manager ANAB Office





## **New York City Police Department - Latent Print Section**

2024 - 17020 - Surveillance Document Review Prepared by Tondala Bausano

> Data collected on 2024-07-01 ANSI National Accreditation Board

> > United States

### Description

This assessment report summarizes the outcome of the recent accreditation activity. A separate document, the assessment plan, provides information on the type of activity (*e.g.*, reassessment, surveillance activity, scope extension), the date(s) of the activity, the assessment team members, the requirement documents and refers to the scope of the discipline(s) assessed for each location. The assessment plan, together with this report, provides a complete picture of the accreditation activity.

The ANSI National Accreditation Board (ANAB) evaluated the competence of the inspection body and conformance with all applicable accreditation requirements for the scope of accreditation referenced in the assessment plan. Objective evidence of implementation was assessed. The results of an assessment activity are based on a sample of records, locations, and personnel that were available at the time of the activity. Witnessing is an additional technique used in most activities.

#### **REQUIREMENTS:**

ISO/IEC 17020:2012 Conformity assessment – Requirements for the operation of various types of bodies performing inspection & ANAB Accreditation Requirements for Forensic Inspection Bodies (AR 3120) evaluated over the accreditation cycle are summarized in the following broad categories:

General requirements related to the inspection body's commitment to impartiality and confidentiality in its activities.

Structural requirements related to the range of activities, management structure, the authority, roles and responsibilities of personnel. Documented procedures which ensure a consistent application of activities and the validity of results.

Resource requirements for a training program, competency testing, authorizations, and ongoing monitoring to ensure the competence of personnel. Facility and security suitability for activities. Records and procedures for equipment to ensure proper functioning and where applicable, establishment of metrological traceability. Requirements for subcontracting inspection services when applicable.

Process requirements related to the handling of inspection items in a manner to maintain the integrity of the item. Requirements for chainof-custody of items collected and appropriate methods and procedures. Ensuring the required performance of the methods along with monitoring the validity of the results. Requirements to ensure results are supported by sufficient records and are reported correctly, accurately, clearly. Requirements for a documented process for handling complaints and appeals.

Management system requirements related to policies and objectives appropriate for the scope of activities. Requirements to control internal and external documents and records. Required procedures for corrective action and preventive actions. Requirements for an internal audit program and management reviews.

The accreditation activity also evaluates the inspection body's conformance with their own management system requirements.

#### ASSESSMENT RESULT:

Based on the assessment techniques and a sample of the objective evidence reviewed during the assessment activity, the assessment team found that the inspection body demonstrated competence to operate a management system that fulfills all applicable accreditation requirements, including those specified within their management system.

Any opportunities for improvement or nonconformities identified during this assessment activity are noted below. All nonconformities will be resolved prior to an accreditation decision by ANAB and a summary provided in a subsequent assessment activity report.

## Summary of Items



ATTENTION: This email came from an external source. Do not open attachments or click on links from unknown senders or unexpected emails.

Good afternoon,

This email is to provide notification that there has been a change in personnel with overall responsibility. Jeffrey Suckow has been appointed as Deputy Director of the Police Laboratory effective immediately.

This is also a late notification regarding two other changes in personnel: Captain Matthew Strong, formerly the Commanding Officer of the Firearms Analysis Section, is now the Executive Officer of the Police Laboratory, and Lieutenant Michael Bopp is now the Commanding Officer of the Firearms Analysis Section. These changes were made in December 2023.

If you have any questions, please let me know.

Stephanie O'Shea Quality Assurance Manager NYPD Police Laboratory Office Phone: (718) 558-8729

This e-mail is for informational purposes only and does not constitute an official report. Any specific questions should be directed to the examiner who conducted the analysis.

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## Forensic Investigation Center

KATHY HOCHUL Governor

STEVEN G. JAMES Superintendent

DR. RAY A. WICKENHEISER Director

July 3, 2024

Pamela Sale, Vice President, Forensics ANSI National Accreditation Board 2000 Regency Parkway, Suite 430 Cary, North Carolina 27518

### RE: Change in Management: Director of NYSP Crime Lab System (FT-0025)

Ms. Sale:

Please be advised that on July 1, 2024, Dr. Jill Dooley was appointed as the Director of Forensic Services for the New York State Police Crime Laboratory System.

Dr. Dooley will work in parallel with current Director, Dr. Ray Wickenheiser, until July 18, 2024, when she will independently assume this role upon Dr. Wickenheiser's retirement.

Sincerely,

Dr. Ray A. Wickenheiser DPS MBA FAAFS

RAW/jdb cc: DCJS OFS File





### SCOPE OF ACCREDITATION TO: ISO/IEC 17025:2017

#### Accreditation Requirements for Forensic Testing and Calibration:2023 FBI Quality Assurance Standards for Forensic DNA Testing Laboratories:2020 FBI Quality Assurance Standards for DNA Databasing Laboratories:2020

#### New York State Police Crime Laboratory

(see locations listed below)

#### FORENSIC TESTING

Expiry Date: 31 May 2026

Certificate Number: FT-0025

#### **Forensic Investigation Center**

1220 Washington Avenue Albany, New York 12226 USA

Discipline: Biology		
<b>Component/Parameter</b>	Item	Key Equipment/Technology
DNA Profile Determination	Short Tandem Repeat (STR) Y-Short Tandem Repeat (Y-STR)	Capillary Electrophoresis
DNA Profile Determination (Database Samples)	Short Tandem Repeat (STR) Y-Short Tandem Repeat (Y-STR)	Capillary Electrophoresis
Individual Characteristic Database	DNA Profile	National DNA Index System (NDIS)
Physical Comparison	DNA Profile	Software Program
Qualitative Determination	Body Fluid Epithelial Cell	Chemical Fluorescence Spectroscopy General Microscopy Immunoassay

Discipline: Fire Debris and Explosives		
Component/Parameter	Item	Key Equipment/Technology
Qualitative Determination	Fire Debris	Gas Chromatography Mass Spectrometry

Version 008 Issued: 10 July 2024





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Discipline: Firearms and Toolmarks		
Component/Parameter	Item	Key Equipment/Technology
Function Evaluation	Firearm	Measuring Equipment
Individual Characteristic Database	Ammunition	National Integrated Ballistic Information Network (NIBIN)
Physical Comparison	Ammunition	General Microscopy Measuring Equipment Software Program Visual
Qualitative Determination	Ammunition Firearm Metal	Chemical General Microscopy Measuring Equipment Reference Collection
Serial Number Restoration	Physical Item	Chemical General Microscopy Magnetic Visual

Discipline: Friction Ridge		
<b>Component/Parameter</b>	Item	Key Equipment/Technology
Enhancement	Ridge Detail	Chemical Physical Software Program
Individual Characteristic Database	Ridge Detail	Next Generation Identification System (NGI)
Physical Comparison	Ridge Detail	Software Program Visual
Physical Comparison (Tenprint)	Ridge Detail	Software Program Visual

Discipline: Materials (Trace)		
Component/Parameter	Item	Key Equipment/Technology
Qualitative Determination	Hair	General Microscopy

Discipline: Seized Drugs		
Component/Parameter	Item	Key Equipment/Technology
Qualitative Determination	Botanical Liquid Solid	Chemical Gas Chromatography General Microscopy Infrared Spectroscopy

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		Liquid Chromatography Mass Spectrometry Raman Spectroscopy
		Thin-Layer Chromatography Ultraviolet Spectroscopy Visual
Quantitative Measurement	Botanical Liquid Solid	Gas Chromatography Liquid Chromatography Ultraviolet Spectroscopy
Volume Measurement	Liquid	Balance Volumetric Glassware
Weight Measurement	Botanical Liquid Solid	Balance

Discipline: Toxicology		
Component/Parameter	Item	Key Equipment/Technology
Qualitative Determination	Ante-Mortem Biological Item Post-Mortem Biological Item	Gas Chromatography Immunoassay Liquid Chromatography Mass Spectrometry
Qualitative Determination (Volatiles)	Ante-Mortem Biological Item Post-Mortem Biological Item	Gas Chromatography Mass Spectrometry
Quantitative Measurement	Ante-Mortem Biological Item Post-Mortem Biological Item	Gas Chromatography Liquid Chromatography Mass Spectrometry
Quantitative Measurement (Volatiles)	Ante-Mortem Biological Item Post-Mortem Biological Item	Gas Chromatography

#### Mid-Hudson Satellite Crime Laboratory

224 Breunig Road New Windsor, New York 12553 USA

Discipline: Seized Drugs		
Component/Parameter	Item	Key Equipment/Technology
Qualitative Determination	Botanical Liquid Solid	Chemical Gas Chromatography General Microscopy Infrared Spectroscopy Liquid Chromatography Mass Spectrometry Raman Spectroscopy Thin-Layer Chromatography Ultraviolet Spectroscopy Visual

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#### New York State Police Crime Laboratory

Quantitative Measurement	Botanical Liquid Solid	Gas Chromatography Liquid Chromatography Ultraviolet Spectroscopy
Volume Measurement	Liquid	Balance Volumetric Glassware
Weight Measurement	Botanical Liquid Solid	Balance

#### Southern Tier Satellite Crime Laboratory

44 Park Street Port Crane, New York 13833 USA

Component/Parameter	Item	Key Equipment/Technology
Qualitative Determination	Botanical Liquid Solid	Chemical Gas Chromatography General Microscopy Infrared Spectroscopy Liquid Chromatography Mass Spectrometry Raman Spectroscopy Thin-Layer Chromatography Ultraviolet Spectroscopy Visual
Quantitative Measurement	Botanical Liquid Solid	Gas Chromatography Liquid Chromatography Ultraviolet Spectroscopy
Volume Measurement	Liquid	Balance Volumetric Glassware
Weight Measurement	Botanical Liquid Solid	Balance

#### Western Satellite Crime Laboratory 722 Homer Street Olean, New York 14760 USA

Discipline: Seized Drugs		
Component/Parameter	Item	Key Equipment/Technology
	Botanical	Chemical
Qualitative Determination	Liquid	Gas Chromatography General Microscopy
	Solid	Infrared Spectroscopy
		Liquid Chromatography

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ANSI National Accreditation Board

#### New York State Police Crime Laboratory

		Mass Spectrometry
		Raman Spectroscopy
		Thin-Layer Chromatography
		Ultraviolet Spectroscopy
		Visual
Quantitative Measurement	Botanical	Gas Chromatography
	Liquid	Liquid Chromatography
	Solid	Ultraviolet Spectroscopy
Volume Measurement	Liquid	Balance
		Volumetric Glassware
Weight Measurement	Botanical	
	Liquid	Balance
	Solid	

When published on a forensic service provider's Scope of Accreditation, ANAB has confirmed the competence required to develop and validate methods and perform on-going quality assurance for accredited activities. For a listed component/parameter, the forensic service provider may add or modify methods for activities without formal notice to ANAB for items and key equipment/technology listed. Contact the forensic service provider for information on the method utilized for accredited work.



Pamela L. Sale Vice President, Forensics

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See below regarding communication to ANAB regarding Director change.

Thanks!

Kevin Condel Niagara County Sheriff's Office Forensic Laboratory Quality Assurance Manager 716-438-3353

From: Michael J Filicetti < Michael.Filicetti@niagaracounty.com>
Sent: Monday, August 19, 2024 4:56 PM
To: QualityMatters@anab.org <QualityMatters@anab.org>
Cc: Kevin R. Condel <Kevin.Condel@niagaracounty.com>
Subject: Change in Director

To whom it may concern:

Effective August 19, 2024, Christian Westring will be the Niagara County Sheriff's Office Forensic Laboratory (NCSOFL) Director. Interim Director Kevin Condel will relinquish the interim Director role and will resume full time duties as the NCSO Forensic Laboratory's Quality Assurance Manager.

Sheriff Michael J. Filicetti Niagara County Sheriff's Office Office – (716)438-3370 Fax – (716)438-3357 www.niagarasheriff.com facebook: niagarasheriff

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Good afternoon Ranee,

Thank you for the notification. Our records have been updated to reflect this change.

#### Mary

Mary Kiella | ANAB Coordinator, Forensics ANSI National Accreditation Board D.C. | Fort Wayne Tel: 414.501.5364 | <u>mkiella@anab.org</u> <u>www.anab.org</u> ANAB Training - <u>https://anab.ansi.org/training/</u>

From: Ranee Ho <RaneeHo@ongov.net>
Sent: Tuesday, June 25, 2024 12:10 PM
To: dcjs.sm.forensiclabs <dcjsforensiclabs@dcjs.ny.gov>; QualityMatters
<qualitymatters@anab.org>
Subject: [EXTERNAL] Onondaga County CFS Forensic Laboratories - QA Manager Update
Importance: High

Good afternoon all,

I am writing to advise ANAB and DCJS that between July 1<sup>st</sup> and August 31st, 2024, Kathleen Hum will be out of the office for personal reasons. During that time, I will be the interim QA Manager. Should the time frame extend beyond August 31st, I will send another notice to inform.

I understand the challenges with keeping up with correspondences so I apologize to have to ask this of you: I respectfully request that if you are currently waiting for my lab's response on anything at all (including things you have not heard back on that would normally be meaningless), can you please resend those inquiries to me and continue to do so for new correspondences until Kathleen returns?

The primary point of contact for the September re-assessment will therefore need to be changed to me until further notice.

Should you have any questions/issues, please do not hesitate to let me know.

Thank you.

Ranee Ho, MS, MBA, ABC-MB, CFM-II Director of Laboratories Onondaga County Center for Forensic Sciences 100 Elizabeth Blackwell Street Syracuse, NY 13210 Tel: (315) 435-1486; Fax: (315) 435-5048 Email: <u>raneeho@ongov.net</u>

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From:	QualityMatters <qualitymatters@anab.org></qualitymatters@anab.org>
Sent:	Wednesday, September 4, 2024 3:30 PM
То:	Kathleen Hum; dcjs.sm.forensiclabs
Cc:	lori@forensicqms.com; Ranee Ho
Subject:	RE: Onondaga County Center for Forensic Sciences - Laboratories - QA Manager Update

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Welcome back Kathy! Thank you for the notification. Our records have been updated to reflect your return and point of contact for your September 16-18, 2024 reassessment

Have a nice afternoon!

#### Mary Mary Kiella | ANAB Coordinator, Forensics

ANSI National Accreditation Board D.C. | Fort Wayne Tel: 414.501.5364 | <u>mkiella@anab.org</u> <u>www.anab.org</u> ANAB Training - <u>https://anab.ansi.org/training/</u>

From: Kathleen Hum <KathleenHum@ongov.net>
Sent: Wednesday, September 4, 2024 2:25 PM
To: dcjs.sm.forensiclabs <dcjsforensiclabs@dcjs.ny.gov>; QualityMatters <qualitymatters@anab.org>
Cc: lori@forensicqms.com; Ranee Ho <RaneeHo@ongov.net>
Subject: [EXTERNAL] Onondaga County Center for Forensic Sciences - Laboratories - QA Manager Update

Good afternoon,

I am writing to inform ANAB and DCJS that I returned to the laboratory and resumed the role of the Quality Assurance Manager as of August 27, 2024. I will also resume being the primary point of contact for our laboratory's ANAB reassessment during the week of September 16, 2024.

Please contact me or the Director of Laboratories, Ranee Ho, if you have any questions.

Regards, Kathy

Kathleen Hum, M.Sc.

Quality Assurance Manager Onondaga County Center for Forensic Sciences 100 Elizabeth Blackwell Street; Syracuse, NY 13210 Tel: (315) 435-3800; Fax: (315) 435-5048 **CONFIDENTIALITY NOTICE**: The information contained in this email is confidential information and may be privileged and exempt from disclosure. If the reader of this message is not the intended recipient, you are hereby notified that any dissemination, distribution or copying of the e-mail is strictly prohibited. If you have received this email in error, please immediately destroy all copies and attachments and notify this office by replying to this message or by calling (315) 435-3800.





September 4, 2024

Constance Dinkel Suffolk County Crime Laboratory 725 Veterans Memorial Highway Hauppauge, New York 11788

Dear Chief Dinkel,

Congratulations! On August 29, 2024, ANAB approved the continuation of your organization's accreditation based upon the results of your recent surveillance activity. Continuation of accreditation is a formal acknowledgement that your organization continues to operate in conformance with accreditation requirements. The report was provided to you during the assessment activity.

The provided ANAB accreditation symbol (<u>Testing</u>) may be used to convey your accredited status. An accreditation symbol must not be used in any way which implies accreditation in any area outside of the scope of accreditation. If appropriate, the accreditation symbol may be used on your organization's website, reports, letterhead, business cards, and other official documents. Please refer to <u>PR 1018 Policy</u> on <u>Use of ANAB Accreditation Symbols and Claims of Accreditation Status</u> for all required information. This policy also provides information on your ability to use a combined mark that contains the ANAB accreditation symbol and the International Laboratory Accreditation Cooperation (ILAC) mark.

The next assessment activity is a Reassessment scheduled for the week of August 10, 2025.

Thank you for your ongoing commitment to quality and the accreditation process.

Sincerely,

Jami StClair Senior Manager of Accreditation ANSI National Accreditation Board

cc: Inga Dorfman, Quality Manager ANAB Office





## Suffolk County Crime Laboratory

2024 - 17025T - Surveillance Document Review Prepared by Meghan Clement Contract LA

Data collected on 2024-08-01

ANSI National Accreditation Board

United States

### **Description**

This assessment report summarizes the outcome of the recent accreditation activity. A separate document, the assessment plan, provides information on the type of activity (*e.g.*, reassessment, surveillance activity, scope extension), the date(s) of the activity, the assessment team members, the requirement documents and refers to the scope of the discipline(s) assessed for each location. The assessment plan, together with this report, provide a complete picture of the accreditation activity.

The ANSI National Accreditation Board (ANAB) evaluated the competence of the laboratory and conformance with all applicable accreditation requirements for the scope of accreditation referenced in the assessment plan. Objective evidence of implementation was assessed. The results of an assessment activity are based on a sample of records, locations, and personnel that were available at the time of the activity. Witnessing is an additional technique used in most activities.

#### **REQUIREMENTS:**

ISO/IEC 17025:2017 General requirements for the competence of testing and calibration laboratories & ANAB Accreditation Requirements for Forensic Testing and Calibration (AR 3125) evaluated over the accreditation cycle are summarized in the following broad categories:

General requirements related to the laboratory's commitment to impartiality and confidentiality in its activities.

Structural requirements related to the range of activities, management structure, the authority, roles and responsibilities of personnel. Documented procedures which ensure a consistent application of activities and the validity of results.

Resource requirements related to the impartiality of personnel. Requirements for a training program, competency testing, authorizations, and ongoing monitoring to ensure the competence of personnel. Facility and security suitability for activities. Records and procedures for equipment to ensure proper functioning and where applicable, establishment of metrological traceability. Requirements for externally provided products and services.

Process requirements related to the handling of test and calibration items in a manner to maintain the integrity of the item. Requirements for chain-of-custody of items to be tested and appropriate methods and procedures. Ensuring the required performance of the methods along with monitoring the validity of the results. Requirements to ensure results are supported by sufficient technical records and are reported accurately, clearly, unambiguously, and objectively. Procedures for nonconforming work and a documented process for handling complaints. Requirements related to the laboratory information management system protection and integrity of data and information.

Management system requirements related to policies and objectives appropriate for the scope of activities. Requirements to control internal and external documents and records. Requirements to address risks and opportunities and timely, well-documented corrective actions. Requirements for an internal audit program and management reviews.

The accreditation activity also evaluates the laboratory's conformance with their own management system requirements.

#### ASSESSMENT RESULT:

Based on the assessment techniques and a sample of the objective evidence reviewed during the assessment activity, the assessment team found that the laboratory demonstrated competence to operate a management system that fulfills all applicable accreditation requirements, including those specified within their management system.

Any opportunities for improvement or nonconformities identified during this assessment activity are noted below. All nonconformities will be resolved prior to an accreditation decision by ANAB and a summary provided in a subsequent assessment activity report.

## Summary of Comments







July 17, 2024

Lt. James G. Harrison Westchester County Department of Public Safety Crime Laboratory 2 Dana Road Valhalla, NY 10595

Dear Lt. Harrison:

Congratulations! On July 11, 2024, ANAB approved the continuation of your organization's accreditation based upon the results of your recent surveillance activity. Continuation of accreditation is a formal acknowledgement that your organization continues to operate in conformance with accreditation requirements. The report was provided to you during the assessment activity.

The provided ANAB accreditation symbol (<u>Testing</u>) may be used to convey your accredited status. An accreditation symbol must not be used in any way which implies accreditation in any area outside of the scope of accreditation. If appropriate, the accreditation symbol may be used on your organization's website, reports, letterhead, business cards, and other official documents. Please refer to <u>PR 1018 Policy</u> on <u>Use of ANAB Accreditation Symbols and Claims of Accreditation Status</u> for all required information. This policy also provides information on your ability to use a combined mark that contains the ANAB accreditation symbol and the International Laboratory Accreditation Cooperation (ILAC) mark.

The next assessment activity is a Surveillance Document Review scheduled for June 2025.

Thank you for your ongoing commitment to quality and the accreditation process.

Sincerely,

Jami St. Clair

Senior Manager of Accreditation ANSI National Accreditation Board

cc: Paul Stasaitis, Quality Manager ANAB Office



## Westchester County Department of Public Safety - Crime Laboratory

2024 - 17025T - Surveillance Assessment Prepared by Lynn Langford

> Data collected on 2024-06-24 ANSI National Accreditation Board United States

### Description

This assessment report summarizes the outcome of the recent accreditation activity. A separate document, the assessment plan, provides information on the type of activity (*e.g.*, reassessment, surveillance activity, scope extension), the date(s) of the activity, the assessment team members, the requirement documents and refers to the scope of the discipline(s) assessed for each location. The assessment plan, together with this report, provide a complete picture of the accreditation activity.

The ANSI National Accreditation Board (ANAB) evaluated the competence of the laboratory and conformance with all applicable accreditation requirements for the scope of accreditation referenced in the assessment plan. Objective evidence of implementation was assessed. The results of an assessment activity are based on a sample of records, locations, and personnel that were available at the time of the activity. Witnessing is an additional technique used in most activities.

#### **REQUIREMENTS:**

ISO/IEC 17025:2017 General requirements for the competence of testing and calibration laboratories & ANAB Accreditation Requirements for Forensic Testing and Calibration (AR 3125) evaluated over the accreditation cycle are summarized in the following broad categories:

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Process requirements related to the handling of test and calibration items in a manner to maintain the integrity of the item. Requirements for chain-of-custody of items to be tested and appropriate methods and procedures. Ensuring the required performance of the methods along with monitoring the validity of the results. Requirements to ensure results are supported by sufficient technical records and are reported accurately, clearly, unambiguously, and objectively. Procedures for nonconforming work and a documented process for handling complaints. Requirements related to the laboratory information management system protection and integrity of data and information.

Management system requirements related to policies and objectives appropriate for the scope of activities. Requirements to control internal and external documents and records. Requirements to address risks and opportunities and timely, well-documented corrective actions. Requirements for an internal audit program and management reviews.

The accreditation activity also evaluates the laboratory's conformance with their own management system requirements.

#### ASSESSMENT RESULT:

Based on the assessment techniques and a sample of the objective evidence reviewed during the assessment activity, the assessment team found that the laboratory demonstrated competence to operate a management system that fulfills all applicable accreditation requirements, including those specified within their management system.

Any opportunities for improvement or nonconformities identified during this assessment activity are noted below. All nonconformities will be resolved prior to an accreditation decision by ANAB and a summary provided in a subsequent assessment activity report.

## Summary of Comments



Audit Comments

#### dcjs.sm.forensiclabs

From:	Harrison Jr., James G <jghj@westchestercountyny.gov></jghj@westchestercountyny.gov>
Sent:	Wednesday, July 3, 2024 5:53 PM
То:	QualityMatters; Jami St.Clair; dcjs.sm.forensiclabs
Cc:	Luciano, James; Stasaitis, Paul F.; van der Meulen, Richard; Harrison Jr., James G
Subject:	change in Quality Assurance Manager Position - WC DPS Crime Lab ANAB cert# FT-0169

# ATTENTION: This email came from an external source. Do not open attachments or click on links from unknown senders or unexpected emails.

To whom it may concern:

Please be advised that our Laboratory (the Westchester County Dept. of Public Safety Crime Laboratory) is making a change in personnel regarding our Quality Assurance Manager. Specifically, we are changing that position from **Detective Richard van der Meulen** to **Sergeant Paul Stasaitis**. Sgt. Stasaitis joined our Lab approximately 7 months ago and has been training towards this assignment ever since. We are making this change to more closely mirror the supervisory structure of our Parent Organization, the Westchester County Police. Det. van der Meulen has served as our Quality Assurance Manager for the past 10+ years, and has done so with distinction. This change is in no way predicated on any negative action on his part; we are forever indebted to him for his dedication and professionalism.

This change is effective as of today, July 3<sup>rd</sup>, 2024. Our ANAB certificate # is **FT-0169**. Thank you

Respectfully,

Lieutenant James Harrison Laboratory Director / WC DPS Crime Laboratory Commanding Officer / Forensic Investigations Unit Westchester County Police Dept. Detective Division work: (914)-231-4031 jghj@WestchesterCountyNY.gov

# \*Please note, as of May 1, 2023, the County of Westchester will be changing its email addresses to @WestchesterCountyNY.gov

This e-mail and any attachments thereto, is intended only for use by the addressee(s) named herein and may contain legally privileged and/or confidential information. If you are not the intended recipient of this e-mail (or the person responsible for delivering this document to the intended recipient), you are hereby notified that any dissemination, distribution, printing or copying of this e-mail, and any attachment thereto, is strictly prohibited. If you have received this e-mail in error, please respond to the individual sending the message, and permanently delete the original and any copy of any e-mail and printout thereof. If you are the intended recipient of this email and any attachments thereto, you are required to keep confidential any and all information related to Westchester County Department of Public Safety Laboratory (WC DPS CL), the Forensic Investigations Unit (FIU), and their customers. Written approval must be secured from the Laboratory prior to the release of any confidential information. Violations of the foregoing may result in legal action.

WC DPS CL – confidentiality and recording of information: The WC DPS CL will maintain all requests for services and their associated information in confidence between the customer agency and the Laboratory; however will share information with Prosecutorial/Defense offices upon request. Information from this document may be used to generate statistical reports and may be shared with other concerned agencies. Any further restrictions on the sharing of information and/or confidentiality must be explicitly communicated in writing and agreed upon by the WC DPS CL. Should the WC DPS CL release confidential information, either when obligated by law or agreed upon with by the customer agency, the agency will be notified of the information provided. All significant dialogue, written or spoken, between the customer agency and/or concerned party (i.e. Prosecutorial/Defense Office) is subject to recording/memorialization as part of the technical record/case file associated with this service request.





June 13, 2024

Cristina Pires Yonkers Police Department Forensic Science Laboratory 104 South Broadway Yonkers, New York 10701

Dear Director Pires,

Congratulations! On June 12, 2024, ANAB approved the continuation of your organization's accreditation based upon the results of your recent surveillance activity. Continuation of accreditation is a formal acknowledgement that your organization continues to operate in conformance with accreditation requirements. The report was provided to you during the assessment activity.

The provided ANAB accreditation symbol (<u>Testing</u>) may be used to convey your accredited status. An accreditation symbol must not be used in any way which implies accreditation in any area outside of the scope of accreditation. If appropriate, the accreditation symbol may be used on your organization's website, reports, letterhead, business cards, and other official documents. Please refer to <u>PR 1018 Policy on Use of ANAB Accreditation Symbols and Claims of Accreditation Status</u> for all required information. This policy also provides information on your ability to use a combined mark that contains the ANAB accreditation symbol and the International Laboratory Accreditation Cooperation (ILAC) mark.

The next assessment activity is a Reassessment scheduled for May 2025.

Thank you for your ongoing commitment to quality and the accreditation process.

Sincerely,



Nita Bolz Senior Manager of Accreditation ANSI National Accreditation Board

cc: Crystal Washington, Scientist IV ANAB Office